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G-25-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Bock, et al.  
Appl. No.: 09/913,987  
Conf. No.: 8341  
Filed: August 20, 2001  
Title: NETWORK NODES WITH OPTICAL ADD/DROP MODULES  
Art Unit: 2633  
Examiner: Sharon Brooks  
Docket No.: 112740-261

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Technology Center 2600

Mail Stop DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING BY FIRST CLASS MAIL UNDER 37 CFR 1.8

Sir:

I hereby certify that the following documents relating to the above-identified application:

1. Transmittal of Information Disclosure Statement (duplicate);
2. Information Disclosure Statement (2 pgs.);
3. PTO Form 1449;
4. Eight references; and
5. Return receipt postcard.

are being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to:

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Alexandria, VA 22313-1450

on June 6, 2003.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

Robert J. Buccieri

Name of Person Mailing Correspondence

Signature

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
112740-261

In Re Application Of: **Bock et al.**

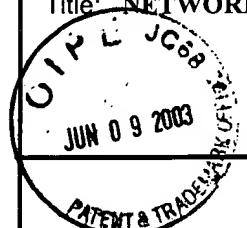
Serial No.  
09/913,987

Filing Date  
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Examiner  
Sharon Brooks

Group Art Unit  
2633

Title: **NETWORK NODES WITH OPTICAL ADD/DROP MODULES**



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Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**Technology Center 2600**

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

**OR**

the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
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Serial No.

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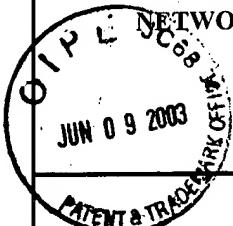
Examiner

Sharon Brooks

Group Art Unit

2633

NETWORK NODES WITH OPTICAL ADD/DROP MODULES



**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of \_\_\_\_\_ is attached.
- The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. A duplicate copy of this sheet is enclosed.
- Charge the amount of \_\_\_\_\_
- Credit any overpayment.
- Charge any additional fee required.

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(Date)

\_\_\_\_\_  
Signature

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Typed or Printed Name of Person Signing Certificate

\*This certificate may only be used if paying by deposit account.

\_\_\_\_\_  
Signature

Thomas C. Basso

Reg. No. 46,541

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Chicago, IL 60690-1135

Phone: (312) 807-4310

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

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Dated: June 6, 2003

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### INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

#### U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
5,748,349	05-05-98	Mizrahi

#### FOREIGN PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
EP 0729247	08-28-96	Europe
EP 0847158	06-10-98	Europe
EP 0892524	01-20-99	Europe
DE 19731494	02-18-99	Germany
EP 0907266	04-07-99	Europe
EP 0920153	06-02-99	Europe

**OTHER DOCUMENTS**

Al-Salameh et. al., *Optical Networking*, Bell Labs Technical Journal, Vol. 3, No. 1, January-March 1998, pp. 39-61.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY

  
Thomas C. Basso  
Reg. No. 46,541  
P.O. Box 1135  
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Phone: (312) 807-4310

Dated: June 6, 2003



INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION  
(Use several sheets if necessary)

PTO Form 1449

Atty Docket No. 112740-261	Application No. 09/913,987
Applicant Bock et al.	
Filing Date 08-20-01	Group 2633

U.S. PATENT DOCUMENTS

Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	5,748,349	05-05-98	Mizrahi			

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Examiner's Initials	Document Number	Publication Date	Country	Class	Subclass	Translation	
						Yes	No
	EP 0729247	08-28-96	Europe				
	EP 0847158	06-10-98	Europe				
	EP 0892524	01-20-99	Europe				
	DE 19731494	02-18-99	Germany				
	EP 0907266	04-07-99	Europe				
	EP 0920153	06-02-99	Europe				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner's Initials	Al-Salameh et. al., <i>Optical Networking</i> , Bell Labs Technical Journal, Vol. 3, No. 1, January-March 1998, pp. 39-61.

Examiner:	Date Considered:
<p>*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	